

“Announcement of a revision/addition of the operation of numbering deposit accounts (in order to associate Individual Numbers with them) to the Purpose of Use of Individual Numbers”

Shinsei Bank, Limited (hereinafter, the “Bank”) hereby announces that the Bank will revise (add) the purpose of use of Individual Numbers and personal information that contains Individual Numbers as follows. This purpose of use has been provided and announced in the Bank Rule “Basic Policy for Protecting Personal Information” in accordance with Article 15, Paragraph 2 and Article 18, Paragraph 3 of the Act on the Protection of Personal Information. We also announce that the effective date for this change (addition) is January 1, 2018 when the numbering of deposit accounts will start.

※ The revision (addition) is underlined in the following page.

※ Click [here](#) for the “Basic Policy for Protecting Personal Information.”

【Purposes of Use of Individual Numbers】

Of the Personal Information, the Bank shall use Individual Numbers and personal information incorporating Individual Numbers within the scope required to achieve the purposes of use listed below:

1. Individual Numbers administrative operations for customers
 - Application for and report of the opening of accounts for financial instruments transactions
 - Preparation of statutory documents pertaining to financial instrument transactions
 - Preparation of statutory documents pertaining to life insurance contracts and so forth
 - Preparation of statutory documents pertaining to non-life insurance contracts and so forth
 - Preparation of statutory documents pertaining to trust transactions
 - Preparation of statutory documents pertaining to transactions of gold bullion and so forth
 - Preparation of statutory documents pertaining to overseas remittances and other transactions
 - Application of the tax-free savings system and so forth
 - Operation of the property accumulation system (*zaikei*) and so forth
 - Preparation of statutory documents pertaining to educational funds management contracts

- Preparation of statutory documents pertaining to marriage/child rearing funds management contracts
- Provision of Individual Numbers to book-entry institutions and so forth concerning financial instruments transactions
- Acceptance of applications for income tax convention
- Operation of numbering deposit accounts (*1)
(*1) This revision (addition) is effective on January 1, 2018.

2. Individual Numbers administrative operations for the Bank's officers and employees and their dependents

- Preparation of withholding certificates for salary income and retirement income
- Preparation of report of and application/request for employment insurance
- Report on health insurance and employees' pension insurance
- Preparation of applications for (change in) exemption of independents of an employment income earner and applications for insurance deduction and salaried employees' special exemption for spouse
- Preparation of salary payment reports
- Preparation of salaried employees' transfer notification forms concerning the salary payment special tax collection
- Preparation of applications for switchover to special tax collection
- Preparation of payment reports for each recipient of retirement allowance and so forth
- Preparation of reports pertaining to retirement income
- Preparation/provision of statutory documents pertaining to financial instrument transactions related to the stock ownership plan and stock option scheme
- Preparation of reports, notifications, and applications for property accumulation housing savings/property accumulation pension savings
- Handling of group insurance

3. Individual Numbers administrative operations for spouses of the Bank's officers and employees

- Notification of Category III Insured of the National Pension

4. Individual Numbers administrative operations for individuals
 - Preparation of payment reports for compensation, fees, contract money, and awards
 - Preparation of payment reports for real estate rentals
 - Preparation of payment reports for remuneration for the acquisition of real estate and so forth
 - Preparation of payment reports for agent commissions for selling/purchasing/lending of real estate and so forth
 - Preparation of payment reports for remuneration paid to nonresidents and so forth for personal services provision business
 - Preparation of payment reports for real estate rentals paid to nonresidents and so forth
 - Preparation of payment reports for industrial property royalties paid to nonresidents and so forth
 - Preparation of payment reports for machine rental fees paid to nonresidents and so forth
 - Preparation of payment reports for salaries, compensation, pensions, and awards paid to nonresidents and so forth
 - Preparation of payment reports for remuneration for the acquisition of real estate paid to nonresidents and so forth

5. Utilization for operations related to the Bank to the extent permissible under laws and regulations

6. If the Bank is entrusted with business by a company or organization and handles the Personal Information of the employees, members, retired employees, and shareholders of such company or organization in the course of business, the Bank shall use their Individual Numbers solely to the extent required to fulfill entrusted operations in accordance with the provisions of the service agreement of each operation.

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